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**849 Response to Product Transfer Account Adjustment**

**Functional Group ID=CF**

**Introduction:**

This Draft Standard for Trial Use contains the format and establishes the data contents of the Response to Product Transfer Account Adjustment Transaction Set (849) for use within the context of an Electronic Data Interchange (EDI) environment. The transaction set can be used to transmit a detailed or summary response to a party requesting an accounting adjustment relating to a pre-authorized product transfer.

**Notes:**

|  |
| --- |
| Vendor Inbound Chargeback Reconciliation With Errors For Map 01 Version 4010Note: AmerisourceBergen wants exceptions only sent on the reconciliation when some of the items sent on the chargeback from AmerisourceBergen are being disputed. |

**Heading:**

**Page Pos. Seg. Req. Loop Notes and**

**No. No. ID Name Des. Max.Use Repeat Comments**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 3 | 010 | ST | Transaction Set Header | M | 1 |  |  |  |  |  |  |  |  |
| 4 | 020 | BRC | Beginning Segment for Response to Product Transfer Account Adjustment | M | 1 |  |  |  |  |  |  |  |  |
| 5 | 045 | REF | Reference Identification | M | 1 |  |  |  |  |  |  |  |  |
| 6 | 050 | REF | Reference Identification | O | 12 |  |  |  |  |  |  |  |  |
|  |  |  | LOOP ID - N1 |  |  | 1 |  |  |  |  |  |  |  |
| 7 | 075 | N1 | Distributor Name (AmerisourceBergen) | M | 1 |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  | LOOP ID - N1 |  |  | 50 |  |  |  |  |  |  |  |
| 8 | 080 | N1 | Vendor Name | M | 1 |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |

**Detail:**

**Page Pos. Seg. Req. Loop Notes and**

**No. No. ID Name Des. Max.Use Repeat Comments**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  | LOOP ID - CON |  |  | 10000 |  |  |  |  |  |  |  |
| 9 | 010 | CON | Contract Number Detail | M | 1 |  |  |  |  |  |  |  |  |
| 10 | 030 | REF | Reference Identification | O | 12 |  |  |  |  |  |  |  |  |
|  |  |  | LOOP ID - N1 |  |  | 50 |  |  |  |  |  |  |  |
| 11 | 060 | N1 | Member Name | M | 1 |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  | LOOP ID - PAD |  |  | 1000 |  |  |  |  |  |  |  |
| 12 | 120 | PAD | Product Adjustment Detail | M | 1 |  |  |  |  |  |  |  |  |
| 13 | 130 | LIN | Item Identification | M | 1 |  |  |  |  |  |  |  |  |
| 14 | 140 | AAA | Request Validation | O | 10 |  |  |  |  |  |  |  |  |
| 17 | 165 | UIT | Unit Detail - Contract Price | M | 1 |  |  |  |  |  |  |  |  |
| 18 | 170 | UIT | Unit Detail - Submitted Contract Price | M | 5 |  |  |  |  |  |  |  |  |
| 19 | 172 | UIT | Unit Detail - Submitted Wholesale Price | M | 1 |  |  |  |  |  |  |  |  |
| 20 | 175 | UIT | Unit Detail - Wholesale Price | M | 1 |  |  |  |  |  |  |  |  |
| 21 | 180 | QTY | Quantity | M | 5 |  |  |  |  |  |  |  |  |
| 22 | 185 | QTY | Quantity | M | 1 |  |  |  |  |  |  |  |  |
| 23 | 190 | AMT | Submitted Chargeback Claim Amount | M | 2 |  | n1 |  |  |  |  |  |  |
| 24 | 195 | AMT | Adjusted Chargeback Claim Amount | M | 1 |  |  |  |  |  |  |  |  |
| 25 | 210 | REF | Reference Identification | M | 12 |  |  |  |  |  |  |  |  |
| 26 | 220 | DTM | Date/Time Reference | M | 10 |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |

**Summary:**

**Page Pos. Seg. Req. Loop Notes and**

**No. No. ID Name Des. Max.Use Repeat Comments**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 27 | 010 | CTT | Transaction Totals | M | 1 |  | n2 |  |  |  |  |  |  |
| 28 | 015 | AMT | Submitted Chargeback Claim Amount | M | 1 |  |  |  |  |  |  |  |  |
| 29 | 020 | AMT | Net Adjustment Amount | O | 5 |  | n3 |  |  |  |  |  |  |
| 30 | 025 | AMT | Adjusted Chargeback Claim Amount | M | 1 |  |  |  |  |  |  |  |  |
| 31 | 030 | SE | Transaction Set Trailer | M | 1 |  |  |  |  |  |  |  |  |

**Transaction Set Notes**

**1.** The AMT segment is to be used only in cases where the amount cannot be computed from other detail.

**2.** A number of line items (CTT01) are the accumulation of the number of CON segments. If used, hash total (CTT02) is the sum of the quantities (QTY02) for each QTY segment.

**3.** The AMT segment is to be used only in cases where the amount cannot be computed from other detail.

 **Segment: ST Transaction Set Header**

 **Position:** 010

 **Loop:**

 **Level:** Heading

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: ST|849|2006~ |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **ST01** | **143** | **Transaction Set Identifier Code** | **M** |  | **ID 3/3** |
|  | Code uniquely identifying a Transaction Set |
|   | 849 |  | Response to Product Transfer Account Adjustment |
| **M** | **ST02** | **329** | **Transaction Set Control Number** | **M** |  | **AN 4/9** |
|  | Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set |
|  | This is a sequential control number. It should be the same as the 'SE02' |

 **Segment: BRC Beginning Segment for Response to Product Transfer Account Adjustment**

 **Position:** 020

 **Loop:**

 **Level:** Heading

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: BRC|00|20110415|CM|98765432~ |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **BRC01** | **353** | **Transaction Set Purpose Code** | **M** |  | **ID 2/2** |
|  | Code identifying purpose of the transaction set |
|   | 00 |  | Original |
|   | 15 |  | Re-Submission |
| **M** | **BRC02** | **373** | **Date** | **M** |  | **DT 8/8** |
|  | Date expressed as CCYYMMDD |
|  | Processing Date |
| **M** | **BRC03** | **128** | **Reference Identification Qualifier** | **M** |  | **ID 2/3** |
|  | Code qualifying the Reference Identification |
|   | CM |  | Buyer's Credit Memo |
| **M** | **BRC04** | **127** | **Reference Identification** | **M** |  | **AN 1/30** |
|  | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier |
|  | Vendors Credit Memo Number. This is the number that we send the check. |

 **Segment: REF Reference Identification**

 **Position:** 045

 **Loop:**

 **Level:** Heading

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: REF|AM|61111234567~ |
|  |  | This REF segment at the Header Level is required from the manufacturer to provide the adjustment memo (chargeback) number from an original chargeback or the resubmit number from a chargeback resubmission. REF01 and REF02 must be present. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **REF01** | **128** | **Reference Identification Qualifier** | **M** |  | **ID 2/3** |
|  | Code qualifying the Reference Identification |
|   | AM |  | Adjustment Memo (Charge Back) |
| **M** | **REF02** | **127** | **Reference Identification** | **M** |  | **AN 1/30** |
|  | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier |
|  | The ABC Chargeback Debit Memo Number should appear in the REF02 with REF01 has a value of 'AM'. This is sent in the BAA Segment, Element 05 when the chargeback is sent in version 4010. |

 **Segment: REF Reference Identification**

 **Position:** 050

 **Loop:**

 **Level:** Heading

 **Usage:** Optional

 **Max Use:** 12

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: REF|ZZ|25~ |
|  |  | ABC prefers to receive this REF segment. It will contain the number of items sent on the original chargeback. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **REF01** | **128** | **Reference Identification Qualifier** | **M** |  | **ID 2/3** |
|  | Code qualifying the Reference Identification |
|   | ZZ |  | Mutually Defined |
| **M** | **REF02** | **127** | **Reference Identification** | **M** |  | **AN 1/30** |
|  | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier |
|  | The number of line items ABC sent on the chargeback should appear in the REF02 when the REF01 has a value of 'ZZ'. This is sent in the CTT Segment, Element 01 when the chargeback is sent in version 4010. |

 **Segment: N1 Distributor Name (AmerisourceBergen)**

 **Position:** 075

 **Loop:** N1 Mandatory

 **Level:** Heading

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: N1|DB||11|RA0210409~ |
|  |  | This N1 at the Header Level is required to identify the wholesaler involved in a chargeback reconciliation. N101, N103, and N104 must be present. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **N101** | **98** | **Entity Identifier Code** | **M** |  | **ID 2/3** |
|  | Code identifying an organizational entity, a physical location, property or an individual |
|   | DB |  | Distributor Branch |
|   | DS |  | Distributor |
| **M** | **N103** | **66** | **Identification Code Qualifier** | **M** |  | **ID 1/2** |
|  | The code designating the system/method of code structure used for Identification Code (67) |
|   | 11 |  | Drug Enforcement Administration (DEA) |
| **M** | **N104** | **67** | **Identification Code** | **M** |  | **AN 2/80** |
|  | Code identifying a party or other code |
|  | The Division DEA Number should appear in the N1 Segment, Element 04 when the N101 has a value of 'DB' or 'DS'. This is sent in the Chargeback in the N1 Segment, Element 04 when the chargeback in sent in version 4010.  |

 **Segment: N1 Vendor Name**

 **Position:** 080

 **Loop:** N1 Mandatory

 **Level:** Heading

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: N1|SU|VENDOR NAME|11|BB1234567~ |
|  |  | This N1 at the Header Level is required to identify the vendor/manufacturer involved in a chargeback reconciliation. N101, N102, N103, and N104 must be present. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **N101** | **98** | **Entity Identifier Code** | **M** |  | **ID 2/3** |
|  | Code identifying an organizational entity, a physical location, property or an individual |
|   | MF |  | Manufacturer of Goods |
|   | SU |  | Supplier/Manufacturer |
| **M** | **N102** | **93** | **Vendor Name** | **M** |  | **AN 1/60** |
|  | Free-form name |
| **M** | **N103** | **66** | **Identification Code Qualifier** | **M** |  | **ID 1/2** |
|  | The code designating the system/method of code structure used for Identification Code (67) |
|   | 1 |  | D-U-N-S Number, Dun & Bradstreet |
|   | 11 |  | Drug Enforcement Administration (DEA) |
|   | 21 |  | Health Industry Number (HIN) |
| **M** | **N104** | **67** | **Identification Code** | **M** |  | **AN 2/80** |
|  | Code identifying a party or other code |
|  | DEA or HIN Number |

 **Segment: CON Contract Number Detail**

 **Position:** 010

 **Loop:** CON Mandatory

 **Level:** Detail

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: CON|VC|ABCD1|VA~If either the contract or member number changes then both the CON and N1 (ST) segments are mandatory.  |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **CON01** | **128** | **Reference Identification Qualifier** | **M** |  | **ID 2/3** |
|  | Code qualifying the Reference Identification |
|   | VC |  | Vendor Contract Number |
| **M** | **CON02** | **127** | **Reference Identification** | **M** |  | **AN 1/30** |
|  | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier |
|  | Contract Number that was submitted by the wholesaler to the manufacturer in the chargeback transmission. This is sent in the CON Segment, Element 02 when the chargeback is sent in version 4010. |
| **M** | **CON03** | **846** | **Contract Status Code** | **M** |  | **ID 2/2** |
|  | The code designating the current status of the contract |
|   | VA |  | Valid Open Contract |
|  | The contract referenced may not literally be valid. The reject reason code that appears in the AAA segment in the PAD Loop identifies the contract-related reason for rejecting the chargeback. In order to use this mandatory data element, all contracts are considered valid - by using the VA code for the valid open contract. |

 **Segment: REF Reference Identification**

 **Position:** 030

 **Loop:** CON Mandatory

 **Level:** Detail

 **Usage:** Optional

 **Max Use:** 12

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: REF|C8|123456~ |
|  |  | This REF segment at the Detail Level in the CON loop is used by the manufacturer to CORRECT the contract number referenced in the chargeback submitted by the wholesaler. REF01 and REF02 must be present. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **REF01** | **128** | **Reference Identification Qualifier** | **M** |  | **ID 2/3** |
|  | Code qualifying the Reference Identification |
|   | C8 |  | Corrected Contract Number |
| **M** | **REF02** | **127** | **Reference Identification** | **M** |  | **AN 1/30** |
|  | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier |
|  | Verified or Corrected Contract Number |

 **Segment: N1 Member Name**

 **Position:** 060

 **Loop:** N1 Mandatory

 **Level:** Detail

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | If you have the information to send:Example: N1|ST|SAMPLE CUSTOMER|11|AA1235852~----------------------------------------------------------------------If you do not have the information to send:Example: N1|ST|UNAVAILABLE~----------------------------------------------------------------------If either the contractor member number changes then both the CON and N1 (ST) segments are mandatory.  |
|  |  | This N1 loop at the Detail Level in the CON loop is required to identify the customers or ship to locations associated with the chargeback claim. N101, N103, and N104 must be present. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **N101** | **98** | **Entity Identifier Code** | **M** |  | **ID 2/3** |
|  | Code identifying an organizational entity, a physical location, property or an individual |
|   | ST |  | Ship To |
| **M** | **N102** | **93** | **Name** | **M** |  | **AN 1/60** |
|  | Free-form name |
|  | If you can not send the correct name back to us because it was not sent in the original chargeback this must be filled with 'UNAVAILABLE' to pass compliance. |
| **M** | **N103** | **66** | **Identification Code Qualifier** | **M** |  | **ID 1/2** |
|  | The code designating the system/method of code structure used for Identification Code (67) |
|   | 11 |  | Drug Enforcement Administration (DEA) |
|   | 21 |  | Health Industry Number (HIN) |
|   | PI |  | Payor Identification |
|   | UL |  | UCC/EAN Location Code |
| **M** | **N104** | **67** | **Identification Code** | **M** |  | **AN 2/80** |
|  | Code identifying a party or other code |
|  | This is sent in the N1Segment, Element 04 when the N101 has an 'ST' code and when the chargeback is sent in version 4010. |

 **Segment: PAD Product Adjustment Detail**

 **Position:** 120

 **Loop:** PAD Mandatory

 **Level:** Detail

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: PAD|1~ |
|  |  | If this PAD segment is used, then a LIN segment must follow. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **PAD01** | **350** | **Assigned Identification** | **O** |  | **AN 1/20** |
|  | Alphanumeric characters assigned for differentiation within a transaction set |
|  | This is a sequential number starting with 1 which starts over with each CON loop. |

 **Segment: LIN Item Identification**

 **Position:** 130

 **Loop:** PAD Mandatory

 **Level:** Detail

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: LIN||ND|00551970803~ |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **LIN01** | **350** | **Assigned Identification** | **O** |  | **AN 1/20** |
|  | Alphanumeric characters assigned for differentiation within a transaction set |
| **M** | **LIN02** | **235** | **Product/Service ID Qualifier** | **M** |  | **ID 2/2** |
|  | Code identifying the type/source of the descriptive number used in Product/Service ID (234) |
|   | N4 |  | National Drug Code in 5-4-2 Format |
|   | ND |  | National Drug Code (NDC) |
|   | NH |  | National Health Related Item Code |
|   | UI |  | U.P.C. Consumer Package Code (1-5-5) |
| **M** | **LIN03** | **234** | **Product/Service ID** | **M** |  | **AN 1/48** |
|  | Identifying number for a product or service |
|  | This is the NDC Number sent in the SII Segment, Element 02 when the chargeback is sent in version 4010. |

 **Segment: AAA Request Validation**

 **Position:** 140

 **Loop:** PAD Mandatory

 **Level:** Detail

 **Usage:** Optional

 **Max Use:** 10

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: AAA|N|DR|YY~Note: ABC uses the NWDA approved codes for our industry. They do not match the X12 codes. Some translators may issue compliance errors. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **AAA01** | **1073** | **Yes/No Condition or Response Code** | **M** |  | **ID 1/1** |
|  | Code indicating a Yes or No condition or response |
|   | N |  | No |
| **M** | **AAA02** | **559** | **Agency Qualifier Code** | **M** |  | **ID 2/2** |
|  | Code identifying the agency assigning the code values |
|   | DR |  | National Wholesale Druggists Association |
| **M** | **AAA03** | **901** | **Reject Reason Code** | **M** |  | **ID 2/2** |
|  | The code assigned by issuer to identify the reason for rejection |
|   | A1 |  | Insufficient Wholesaler Inventory |
|  | Product quantity in chargeback claim exceeds known quantity in wholesaler's inventory. (Invalid claim - do not resubmit) |
|   | A2 |  | Line Item Too Old |
|  | Requested claim on this item is past the allowable chargeback submission period. (Invalid claim - do not resubmit) |
|   | A3 |  | Quantity Invalid - Free Goods |
|  | Chargeback was requested on free goods items. (Corrected by the manufacturer - do not resubmit) |
|   | A4 |  | Rebill Without Offsetting Credit |
|  | Chargeback is for re-billed goods for which no offsetting credit has been received from the wholesaler. (Correct and resubmit) |
|   | A5 |  | Minimum Order Quantity Not Met |
|   | AA |  | Contract Number Missing |
|  | The contract number is not supplied. |
|   | BB |  | Contract Number Incorrect |
|  | Contract number supplied does not match any valid number in manufacturer file. |
|   | CC |  | Contract Expired |
|  | Invoice date after contract expiration date. |
|   | DD |  | Reject Due to Doors |
|  | For Reconciliation Purposes, this code means 'Contract Not Yet In Force' |
|   | EE |  | Invoice Date Missing or Invalid |
|  | Invoice date for chargeback claims not supplied or invalid. |
|   | FF |  | Customer Not Covered |
|  | The manufacturer has no record of a contract with this customer. |
|   | GG |  | Customer Expired |
|  | Customer dropped from the contract before invoice date. |
|   | HH |  | Reject Due to Hand Hold, Ladder, Step, Running Boards, Platforms, etc. |
|  | We use this as Customer Not Yet EligibleInvoice date precedes inclusion of customer on contract. |
|   | II |  | Industrial Interchange |
|  | DEA number, customer referenced ID or name/address required to identify customer is missing. |
|   | JJ |  | Customer DEA Number, Referenced ID, or Address Invalid |
|  | Unable to identify the customer. DEA number customer referenced ID, or name/address invalid. |
|   | KK |  | Drug Not Covered |
|  | Drug for this chargeback not covered by this contract. |
|   | LL |  | Drug Expired |
|  | Drug dropped from the contract before invoice date. |
|   | MM |  | Drug Not Eligible |
|  | Invoice date precedes the date of inclusion of a drug on this contract. |
|   | NN |  | Drug Number Missing or Invalid |
|  | Drug number not submitted or unable to identify. |
|   | OO |  | Wholesaler Not Covered |
|  | The manufacturer has no record of inclusion of this wholesaler on this contract. |
|   | PP |  | Wholesaler Expired |
|  | Wholesaler dropped from this contract. |
|   | QQ |  | Wholesaler Not Yet Eligible |
|  | Invoice date precedes the date of inclusion of this wholesaler on this contract. |
|   | RI |  | Invalid Resubmit Number |
|  | Resubmit number does not match any resubmit number assigned or does not match most recent resubmit number assigned. |
|   | RR |  | Quantity Invalid or Not Supplied |
|  | Quantity on invoice invalid or not supplied as required. |
|   | SS |  | Contract Price Missing or Incorrect |
|  | Contract price not supplied or incorrect. |
|   | TT |  | Contract Price Inserted or Corrected |
|  | The contract price was missing or incorrect but was adjusted to be correct. |
|   | UU |  | Unit Cost Missing or Incorrect |
|  | Unit contract cost missing or incorrect. |
|   | VV |  | Unit Cost Inserted or Corrected |
|  | The unit contract price was missing or incorrect but was adjusted to be correct. |
|   | WW |  | Extended Amount Incorrect |
|  | Extended chargeback amount incorrect. |
|   | XX |  | Extended Amount Corrected |
|  | Extended chargeback amount was incorrect, but was adjusted to be correct.This code should never be used alone, there is always a reason. |
|   | YY |  | Duplicate Chargeback Request |
|  | The manufacturer has a record of the previous claim on this invoice. |

 **Segment: UIT Unit Detail - Contract Price**

 **Position:** 165

 **Loop:** PAD Mandatory

 **Level:** Detail

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: UIT|UN|2606|CT~Note: ABC requires the 'UIT' segment with a UIT03 value of 'CT'. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **UIT01** | **C001** | **Composite Unit of Measure** | **M** |  |  |
|  | To identify a composite unit of measure (See Figures Appendix for examples of use) |
| **M** | **C00101** | **355** | **Unit or Basis for Measurement Code** | **M** |  | **ID 2/2** |
|  | Code specifying the units in which a value is being expressed, or the manner in which a measurement has been taken |
|   | EA |  | Each |
|   | PK |  | Package |
|   | UN |  | Unit |
| **M** | **UIT02** | **212** | **Unit Price** | **M** |  | **R 1/17** |
|  | Price per unit of product, service, commodity, etc. |
|  | The Unit Price is in 'Eaches' for ABC.This is the most recent contract price the Manufacturer has in their system for AmerisourceBergen. |
| **M** | **UIT03** | **639** | **Basis of Unit Price Code** | **M** |  | **ID 2/2** |
|  | Code identifying the type of unit price for an item |
|   | CT |  | Contract |

 **Segment: UIT Unit Detail - Submitted Contract Price**

 **Position:** 170

 **Loop:** PAD Mandatory

 **Level:** Detail

 **Usage:** Mandatory

 **Max Use:** 5

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: UIT|UN|2553.88|SC~Note: ABC requires the UIT segment with a UIT03 value of 'SC'. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **UIT01** | **C001** | **Composite Unit of Measure** | **M** |  |  |
|  | To identify a composite unit of measure (See Figures Appendix for examples of use) |
| **M** | **C00101** | **355** | **Unit or Basis for Measurement Code** | **M** |  | **ID 2/2** |
|  | Code specifying the units in which a value is being expressed, or the manner in which a measurement has been taken |
|   | EA |  | Each |
|   | PK |  | Package |
|   | UN |  | Unit |
| **M** | **UIT02** | **212** | **Unit Price** | **M** |  | **R 1/17** |
|  | Price per unit of product, service, commodity, etc. |
|  | The Unit Price is in 'eaches' for AmerisourceBergen.This is sent in the SII Segment, Element 06 when the chargeback is sent in version 4010. |
| **M** | **UIT03** | **639** | **Basis of Unit Price Code** | **M** |  | **ID 2/2** |
|  | Code identifying the type of unit price for an item |
|   | SC |  | Submitted Contract |

 **Segment: UIT Unit Detail - Submitted Wholesale Price**

 **Position:** 172

 **Loop:** PAD Mandatory

 **Level:** Detail

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: UIT|UN|2606|SW~Note: AmerisourceBergen requires the UIT segment with a UIT03 value of 'SW'. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **UIT01** | **C001** | **Composite Unit of Measure** | **M** |  |  |
|  | To identify a composite unit of measure (See Figures Appendix for examples of use) |
| **M** | **C00101** | **355** | **Unit or Basis for Measurement Code** | **M** |  | **ID 2/2** |
|  | Code specifying the units in which a value is being expressed, or the manner in which a measurement has been taken |
|   | EA |  | Each |
|   | PK |  | Package |
|   | UN |  | Unit |
| **M** | **UIT02** | **212** | **Unit Price** | **M** |  | **R 1/17** |
|  | Price per unit of product, service, commodity, etc. |
|  | The Unit Price is in 'eaches' for AmerisourceBergen.This is sent in the SII Segment, Element 05 when the chargeback is sent in version 4010. |
| **M** | **UIT03** | **639** | **Basis of Unit Price Code** | **M** |  | **ID 2/2** |
|  | Code identifying the type of unit price for an item |
|   | SW |  | Submitted Wholesale |

 **Segment: UIT Unit Detail - Wholesale Price**

 **Position:** 175

 **Loop:** PAD Mandatory

 **Level:** Detail

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: UIT|UN|2606|WH~Note: AmerisourceBergen requires the UIT segment with a UIT03 value of 'WH'. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **UIT01** | **C001** | **Composite Unit of Measure** | **M** |  |  |
|  | To identify a composite unit of measure (See Figures Appendix for examples of use) |
| **M** | **C00101** | **355** | **Unit or Basis for Measurement Code** | **M** |  | **ID 2/2** |
|  | Code specifying the units in which a value is being expressed, or the manner in which a measurement has been taken |
|   | EA |  | Each |
|   | PK |  | Package |
|   | UN |  | Unit |
| **M** | **UIT02** | **212** | **Unit Price** | **M** |  | **R 1/17** |
|  | Price per unit of product, service, commodity, etc. |
|  | The Unit Price is in 'eaches' for ABC.This is the amount the Manufacturer invoiced ABC. |
| **M** | **UIT03** | **639** | **Basis of Unit Price Code** | **M** |  | **ID 2/2** |
|  | Code identifying the type of unit price for an item |
|   | WH |  | Wholesale |

 **Segment: QTY Quantity**

 **Position:** 180

 **Loop:** PAD Mandatory

 **Level:** Detail

 **Usage:** Mandatory

 **Max Use:** 5

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: QTY|83|11~Note: AmerisourceBergen requires two 'QTY' segments. Rebate returns are indicated by negative values in the QTY and AMT segments. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **QTY01** | **673** | **Quantity Qualifier** | **M** |  | **ID 2/2** |
|  | Code specifying the type of quantity |
|   | 83 |  | Submitted Quantity Sold |
|   | 84 |  | Submitted Quantity Returned |
| **M** | **QTY02** | **380** | **Quantity** | **M** |  | **R 1/15** |
|  | The numeric value of the quantity |
|  | This is sent in the SII Segment, Element 03 when the chargeback is sent in version 4010. |
|  | **QTY03** | **C001** | **Composite Unit of Measure** | **O** |  |  |
|  | To identify a composite unit of measure (See Figures Appendix for examples of use) |
| **M** | **C00101** | **355** | **Unit or Basis for Measurement Code** | **M** |  | **ID 2/2** |
|  | Code specifying the units in which a value is being expressed, or the manner in which a measurement has been taken |

 **Segment: QTY Quantity**

 **Position:** 185

 **Loop:** PAD Mandatory

 **Level:** Detail

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: QTY|32|11~Note: AmerisourceBergen requires two 'QTY' segments. Rebate returns are indicated by negative values in the QTY and AMT segments. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **QTY01** | **673** | **Quantity Qualifier** | **M** |  | **ID 2/2** |
|  | Code specifying the type of quantity |
|   | 32 |  | Quantity Sold |
|   | 76 |  | Returns |
| **M** | **QTY02** | **380** | **Quantity** | **M** |  | **R 1/15** |
|  | The numeric value of the quantity |
|  | This is the quantity the vendor has in their system. |
|  | **QTY03** | **C001** | **Composite Unit of Measure** | **O** |  |  |
|  | To identify a composite unit of measure (See Figures Appendix for examples of use) |
|  | **C00101** | **355** | **Unit or Basis for Measurement Code** | **O** |  | **ID 2/2** |
|  | Code specifying the units in which a value is being expressed, or the manner in which a measurement has been taken |

 **Segment: AMT Submitted Chargeback Claim Amount**

 **Position:** 190

 **Loop:** PAD Mandatory

 **Level:** Detail

 **Usage:** Mandatory

 **Max Use:** 2

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: AMT|S|573.32~An AMT segment for the Submitted Chargeback Claim Amount is required. Rebate returns are indicated by negative values in the QTY and AMT segments |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **AMT01** | **522** | **Amount Qualifier Code** | **M** |  | **ID 1/3** |
|  | Code to qualify the amount |
|   | S |  | Submitted Chargeback Claim Amount |
| **M** | **AMT02** | **782** | **Monetary Amount** | **M** |  | **R 1/18** |
|  | Monetary amount |
|  | This is sent in the SII Segment, Element 07 when the chargeback is sent in version 4010. |

 **Segment: AMT Adjusted Chargeback Claim Amount**

 **Position:** 195

 **Loop:** PAD Mandatory

 **Level:** Detail

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: AMT|A|0~An AMT segment for the Adjusted Chargeback Claim Amount is required. Rebate returns are indicated by negative values in the QTY and AMT segments. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **AMT01** | **522** | **Amount Qualifier Code** | **M** |  | **ID 1/3** |
|  | Code to qualify the amount |
|   | A |  | Adjusted Chargeback Claim Amount |
| **M** | **AMT02** | **782** | **Monetary Amount** | **M** |  | **R 1/18** |
|  | Monetary amount |
|  | This is the amount the vendor has agreed to credit ABC. |

 **Segment: REF Reference Identification**

 **Position:** 210

 **Loop:** PAD Mandatory

 **Level:** Detail

 **Usage:** Mandatory

 **Max Use:** 12

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: REF|DI|046123456~ |
|  |  | The REF segment at the Detail Level of the CON/PAD loop is required to provide the wholesaler invoice number and the resubmit number at the item level in the case of a chargeback resubmission. REF01 and REF2 must be present. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **REF01** | **128** | **Reference Identification Qualifier** | **M** |  | **ID 2/3** |
|  | Code qualifying the Reference Identification |
|   | DI |  | Distributor Invoice Number |
|  | This is sent in the N9 Segment, Element 02 when the chargeback is sent in version 4010. |
|   | RX |  | Resubmit number |
|  | The number assigned by the manufacturer to identify a previously submitted chargeback that was rejected due to error. The wholesaler provides this number when the chargeback is corrected and resubmitted. Not all manufacturers assign resubmit numbers. |
| **M** | **REF02** | **127** | **Reference Identification** | **M** |  | **AN 1/30** |
|  | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier |
|  | This is the AmerisourceBergen invoice number. |

 **Segment: DTM Date/Time Reference**

 **Position:** 220

 **Loop:** PAD Mandatory

 **Level:** Detail

 **Usage:** Mandatory

 **Max Use:** 10

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: DTM|003|20110401~ |
|  |  | The DTM segment at the Detail Level in the CON/PAD loop is required to provide the wholesaler invoice date. DTM01 and DTM02 must be present. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **DTM01** | **374** | **Date/Time Qualifier** | **M** |  | **ID 3/3** |
|  | Code specifying type of date or time, or both date and time |
|   | 003 |  | Invoice |
| **M** | **DTM02** | **373** | **Date** | **M** |  | **DT 8/8** |
|  | Date expressed as CCYYMMDD |
|  | This is sent in the N9 Segment, Element 04 when the chargeback is sent in version 4010. |

 **Segment: CTT Transaction Totals**

 **Position:** 010

 **Loop:**

 **Level:** Summary

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: CTT|1~ |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **CTT01** | **354** | **Number of Line Items** | **M** |  | **N0 1/6** |
|  | Total number of line items in the transaction set |
|  | A total number of 'PAD' segments in the transaction set. This is the number of rejected lines. |

 **Segment: AMT Submitted Chargeback Claim Amount**

 **Position:** 015

 **Loop:**

 **Level:** Summary

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: AMT|S|73965.54~ |
|  |  | This AMT segment at the Summary Level is required to provide the TOTAL AMOUNT of the chargeback reconciliation credit memo. AMT01 and AMT02 must be present. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **AMT01** | **522** | **Amount Qualifier Code** | **M** |  | **ID 1/3** |
|  | Code to qualify the amount |
|   | S |  | Submitted Chargeback Claim Amount |
| **M** | **AMT02** | **782** | **Monetary Amount** | **M** |  | **R 1/18** |
|  | Monetary amount |
|  | This is sent in the AMT Segment, Element 02 when the chargeback is sent in version 4010. |

 **Segment: AMT Net Adjustment Amount**

 **Position:** 020

 **Loop:**

 **Level:** Summary

 **Usage:** Optional

 **Max Use:** 5

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: AMT|NA|573.32~ |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **AMT01** | **522** | **Amount Qualifier Code** | **M** |  | **ID 1/3** |
|  | Code to qualify amount |
|   | NA |  | Net Adjustment |
| **M** | **AMT02** | **782** | **Monetary Amount** | **M** |  | **R 1/18** |
|  | Monetary amount |
|  | This is the amount the vendor is denying to pay ABC. |

 **Segment: AMT Adjusted Chargeback Claim Amount**

 **Position:** 025

 **Loop:**

 **Level:** Summary

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: AMT|A|73392.22~ |
|  |  | This AMT segment at the Summary Level is required to provide the TOTAL AMOUNT of the chargeback reconciliation credit memo. AMT01 and AMT02 must be present. |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **AMT01** | **522** | **Amount Qualifier Code** | **M** |  | **ID 1/3** |
|  | Code to qualify the amount |
|   | A |  | Adjusted Chargeback Claim Amount |
| **M** | **AMT02** | **782** | **Monetary Amount** | **M** |  | **R 1/18** |
|  | Monetary amount |
|  | This is the amount the vendor is willing to pay AmerisourceBergen. It will be the difference between the submitted chargeback claim amount and the net adjustment amount. |

 **Segment: SE Transaction Set Trailer**

 **Position:** 030

 **Loop:**

 **Level:** Summary

 **Usage:** Mandatory

 **Max Use:** 1

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Example: SE|27|1~ |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **M** | **SE01** | **96** | **Number of Included Segments** | **M** |  | **N0 1/10** |
|  | Total number of segments included in a transaction set including ST and SE segments |
| **M** | **SE02** | **329** | **Transaction Set Control Number** | **M** |  | **AN 4/9** |
|  | Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set |
|  | This must be the same number as in the ST segment (ST02) for the transaction set. |

|  |
| --- |
| **CHARGEBACK RECONCILIATION** **PROBLEM SCENARIOS** |
| **CONDITION** |  |  |  |  |  |  |  |  |
| IS THE CONTRACTDISPUTED? | YES | YES | YES | YES | NO | NO | NO | NO |
| IS THE MEMBER DISPUTED? | NO | NO | YES | YES | NO | NO | YES | YES |
| IS THE ITEM DISPUTED? | NO | YES | NO | YES | NO | YES | NO | YES |
| ACTION | 1, 4 & 7 | 1, 4 & 7 | 1, 4 & 7 | 1, 4 & 7 | 10 | 3, 6 & 9 | 2, 5 & 8 | 2, 5 & 8 |
|  |  |  |  |  |  |  |  |  |
| **ACTION** |  |  |  |  |  |  |  |  |

 1. Send disputed contract (CON)

 2. Send contract associated with a disputed member (CON)

 3. Send contract associated with the disputed item (CON)

 4. Send all members associated with the disputed contract (N1)

 5. Send disputed member (N1)

 6. Send member associated with the disputed item (N1)

 7. Send all items associated with the disputed contract (PAD Loop)

 8. Send all items associated with a disputed member (PAD Loop)

 9. Send the disputed item (PAD Loop)

1. Send reconciliation with only header and summary segments

**SAMPLE DATA**

**849 RECONCILIATION**

**WITH ERRORS**

ST|849|2006~

BRC|00|20110415|CM|98765432~

REF|AM|61111234567~

REF|ZZ|25~

N1|DB||11|RA0210409~

N1|SU|VENDOR NAME|11|BB1234567~

CON|VC|ABCD1|VA~

N1|ST|SAMPLE CUSTOMER|11|AA1235852~

PAD|1~

LIN||ND|00551970803~

AAA|N|DR|YY~

UIT|UN|2606|CT~

UIT|UN|2553.88|SC~

UIT|UN|2606|SW~

UIT|UN|2606|WH~

QTY|83|11~

QTY|32|11~

AMT|S|573.32~

AMT|A|0~

REF|DI|046123456~

REF|RX|602888S18~

DTM|003|20110401~

CTT|1~

AMT|S|73965.54~

AMT|NA|573.32~

AMT|A|73392.22~

SE|27|2006~