



FY 2020 _____
Prescription Drug Safety
Grant Program

The AmerisourceBergen®
FOUNDATION

FY 2020

Prescription Drug Safety Grant Program

AmerisourceBergen Corporation (“AmerisourceBergen”) provides pharmaceutical products, value-driving services and business solutions that improve access to care. Tens of thousands of healthcare providers, veterinary practices and livestock producers trust us as their partner in the pharmaceutical supply chain. AmerisourceBergen manages the transportation of medication, including controlled substances, from manufacturers to licensed pharmacies and hospitals. We recognize that we have a responsibility to positively impact the communities in which we operate. Our company’s role in the healthcare supply chain uniquely positions us to contribute important expertise and resources to the battle against opioid misuse in the United States. Within our own operations and by joining other healthcare stakeholders, government entities, civic organizations, law enforcement agencies and individuals; we have made these commitments to address the opioid crisis:

1. Ensure safe and secure pharmaceutical distribution healthcare-related services
2. Maintain operational integrity
3. Advocate for the highest regulatory standards
4. Support the communities in which we operate

The Amerisourcebergen Foundation

To fulfill the Company’s commitments to the communities we impact, AmerisourceBergen created the AmerisourceBergen Foundation (“Foundation”), as a separate, not-for-profit charitable organization, distinct from AmerisourceBergen Corporation. The Foundation receives charitable contributions from AmerisourceBergen Corporation (“AmerisourceBergen”) and others and uses these resources to support health-related causes that enrich the lives of our global community. To accomplish this goal, the Foundation partners with organizations aligned around similar focus areas which are:

Prescription Drug Safety: currently focusing on combatting the opioid epidemic in the US with safe disposal, prevention education and innovative solutions

Human Health: supporting human health-related causes to enrich the lives of members of the global community

Animal Health: funding access to care and education to support access to animal health

Our focus on prescription drug safety

The Foundation's current focus in the area of prescription drug safety provides resources targeting the opioid epidemic via:

Opioid Resource Grant Program

A competitive grant process that provides funding for projects that focus on education, prevention, safe disposal and innovative solutions to end the opioid crisis.

Safe Disposal Program

This program provides in-kind donations of drug destruction products that deactivate unused or expired prescription medications in a safe and effective manner. We have worked with organizations and municipalities across the U.S. to distribute these resources and the program is ongoing. To apply for Safe Disposal Resources, visit <http://bit.ly/abcDisposeGrant>.

Opioid resource grant program

The AmerisourceBergen Foundation Opioid Resource Grant Program awards funding annually for the most innovative and constructive community-facing programs and priority will be given to concepts addressing these three key areas of focus:

- Safe disposal management programs
- Prevention education
- Pilot programs for new ideas related to pre- and post-treatment activities

The following types of organizations do not meet eligibility requirements and will automatically be declined:

- Academic institutions
- Customers of AmerisourceBergen
- Hospitals, health systems, hospital/health system foundations or physician practices or any other hospital/health system related entities
- Municipalities or government agencies
- Governmental entities with regulatory oversight of AmerisourceBergen

Please note:

- Funding can only be awarded to 501(c)3 non-profit organizations
- A non-profit led community coalition that applies for funding may include academic institutions, hospitals/health systems, or municipalities, but those types of organizations may not be the lead applicant

Funding requests for the following will **NOT** be considered:

- Treatment-related services or beds
- Academic or scientific research
- Media or advertising (e.g., public service announcements)
- Narcan or equivalent resources
- Funding for new positions (consultants or contractors are acceptable)
- Programming within a hospital, health system or physician practice
 - Requests for financial resources to purchase in-home drug disposal resources (example: Deterra).
If you are interested in including these in your project, please submit a request via our Safe Disposal Program at <http://bit.ly/abcDisposeGrant>.

Additional grant information:

- The Foundation does not make grants to AmerisourceBergen customers or their affiliates. However, the Foundation may fund a project or program of a coalition that includes one or more customers/customer affiliate (as long the customer is a non-profit entity) as long as the following conditions are met: (1) the grant must be restricted for charitable purposes, (2) the grant may not be paid to the customer or its affiliate, and (3) the coalition must be memorialized by a letter of intent or memorandum of understanding
- The grantee's project or program must align with the Foundation's mission and areas of focus
- The grantee's project or program cannot be limited to serving a narrowly defined group of individuals but must instead serve a broad charitable class
- The grantee cannot lobby or engage in political advocacy as a substantial portion of its activities, support political causes or campaigns, or operate to benefit or promote the interests of elected officials
 - The grantee cannot engage in discrimination based on race, color, religion, age, nationality, social or ethnic origin, sexual orientation, gender, gender identity or expression, marital status, pregnancy, political affiliation, disability or veteran status

Request for funding

All requests for funding must be submitted via the online grants management tool, YourCause. Requests can only be submitted during the outlined grant period via the highlighted link on the AmerisourceBergen Foundation website. Requests submitted via any other means will be automatically declined.

After submission requests for funding go through a two-step process of consideration:

Step 1

1-2-page Letter of Intent (LOI)

Step 2

Invite-only Full proposal

Please note: All communication about your request for funding will come from YourCause.

Letters of Intent

LOI submission instructions

Submissions must include the following and be completed in full to be considered:

- A descriptive, working title of this funding opportunity
- Organization name/s and EIN (Employer Identification Number)
- Organization address/es
- Contact name/s, title/s, phone number/s, email address/es
- Participating institution(s) and names of other key individuals potentially involved in the solution
- Describe and specify the target audience and justify the selection of the audience, based on evidence of need and supported by credible data
- An Executive Summary that includes a clear description of the project as well as the implementation method and way it relates to the mission of the applying organization
- Geographic focus and reach
 - Program budget which specifies the anticipated amount of funding required to conduct the proposed initiative. Indirect expenses cannot exceed more than 10% of the requested funding. Please use reasonable estimations and approximations in your budget based on geographic locations and relevant factors. We do not have prescribed standard for this and will not answer questions on this topic

LOI considerations and additional information

Make sure to consider the following to include in your LOI:

- Requests for funding should target projects/programs that focus on safe disposal initiatives, prevention education, and/or innovative solutions, relating to the needs identified in each submission
- LOIs selected to move to the full proposal stage will include identified solutions
- ONE request for funding per applicant organization will be accepted
- LOIs will be accepted April 15-30, 2020. LOIs submitted outside that window will not be accepted.
 - The Foundation reserves the right to not advance any proposal for any reasons, including but not limited to excessive requests, competitive standing, lack of alignment or situational considerations

Additional LOI Information

- Grant periods are for one-year only. Multi-year grant requests will not be considered
- Submitting a proposal does not guarantee funding
- The Foundation reserves the right to adjust guidelines, submission deadlines and notification dates without prior notice
- Grant funds may only be used for charitable purposes
- The Foundation does not fund efforts to influence legislation, to intervene in political elections or campaigns, to promote propaganda, or to conduct voter registration drives
- The Foundation does not make grants or loans to individuals and does not directly fund fellowships or prizes.
 - Grantees cannot engage in discrimination as per eligibility requirements

Grant proposal

Organizations that are invited to submit a full proposal will be contacted through the YourCause Grants Management portal and should expect the following process:

- A communication requesting that the organization submit a full grant proposal
- Full proposals should include the following, where feasible and possible:
 - Geographic locations where funding will be allocated, to include addresses where possible
 - References to proven models, philosophies, theories or practical applications of the proposed model or program
 - A list of all organizations that will be in any way be involved in the implementation of the grant, to include nonprofit, government, for-profit, or other. Please note government/municipalities, hospitals/health systems and their related entities, or academic institutions may participate in the implementation of grant funded programs but cannot be the lead applicant
 - Anticipated outcomes of funding estimated in six-month intervals
 - Metrics and data that will be collected. Funding may be allocated in the budget for evaluation expenses
- Grant recipients who are awarded funding will be expected to:
 - Provide the AmerisourceBergen Foundation with updates every six months via the provided metrics form in the YourCause Grants Management portal
 - Maintain communication regarding successes, milestone and/or challenges

Grant program considerations

- The size of grant disbursements will depend on the needs of each grantee, there is no maximum. We expect the average grant size to be between \$50,000 to \$75,000
- Applicants are strongly encouraged to identify and leverage existing, proven, evidence-based frameworks and strategies
- Applicants may also propose original and innovative projects related to pre- and post-treatment activities such as job training, housing programs, recidivism support etc., or customize and build upon publicly available projects and/or strategy models
- Applications focusing on education may include new concepts or approaches, or proven models
- Education initiatives may address a wide spectrum of needs including but not limited to the following:
 - Provider education about appropriate opioid prescribing
 - Patient education about the risks and effects of prescription opioids
 - Public education especially aimed at rural communities
 - Training to reduce youth risk factors, such as delinquency, and boost protective factors, such as decision-making skills and resisting peer pressure
 - Parent education on prevention of teen opioid abuse
 - How youth can effectively cope when living with adults with addiction
- Organizations requesting funding should exhibit sound financial management. The Foundation has a preference to partner with organizations that have the following:
 - At least three years of 990s or equivalent available for review
 - Project budgets amounts that do not exceed the annual revenue of the organization except in cases of documented multi-partner community coalitions

Grant cycle timeline and submission process

Grant review process

Grant review and decisions will be accomplished in the following five steps:

Step 1

Foundation staff reviews Letters of Intent (LOI) to determine eligibility and alignment with the Foundation's mission and adherence to the listed requirements. Following review of your LOI, your organization will be contacted via the YourCause Grants Manager Portal to confirm the status. All submissions will be notified with one of the following:

- To invite your organization to proceed to the full proposal stage
- To request additional information
- To decline your organization's request for funding. **Due to high demand, the Foundation is unable to provide feedback on individual proposals**

Step 2

Eligible organizations will be invited to submit Detailed Grant Proposals and supporting information to the Foundation by a specified date and time

Step 3

After Foundation staff review, grant proposals and supporting information will be sent to the Foundation External Advisory Committee (EAC), for review and funding recommendations

Step 4

Proposals recommended for funding by the EAC will be presented to a committee of AmerisourceBergen Associates from throughout the organization who have volunteered to review and make final decisions on all funding requests under \$100,000. Any request over \$100,000 will be reviewed by the Associate Committee and then submitted to the Foundation Board for final approval as per Foundation policy

Step 5

Organizations will receive notification of funding decisions via the YourCause Grants Portal. Organizations approved for funding will be required to complete and submit a grant agreement. All payments are made directly to the organization approximately 60 days following the receipt of the executed grant agreement

Grant review criteria

The following criteria will be used to evaluate Detailed Grants

Criterion 1: Project Approach and Management Plan

- Reasonableness of the proposed approach, as evidenced by clear goals, tasks, methods, deliverables, and timeline
- Originality of the proposed approach; specifically, no duplication of currently funded programs, either by federal, state or other philanthropic organization
- Degree to which the project supports the mission of the Foundation and ensures desirable outcomes
- Degree to which the application adequately describes successful implementation of the project
- Size of impact. Preference is given to projects with larger impact either by number of people effected or geographic focus

Criterion 2: Potential Impact and Sustainability

- Appropriateness of the quantified impacts and deliverables expressed within the application, given the amount of funding requested
 - Evidence of lasting impact and overall sustainability of the project

Criterion 3: Budget Form and Budget Narrative

- Line item justification of a complete budget and budget narrative that identifies where and how the awarded funds will be spent. The scope of the budget should not extend longer than one year
 - Reasonableness of costs in relation to proposed activities

Performance reporting

All recipients of Foundation grants will be expected to provide the Foundation with performance metrics every six months and final performance metrics within 30 days of the conclusion of their project via the provided forms in the YourCause Grants Management portal

Questions? Please contact us at abcfoundation@amerisourcebergen.com

Appendix

Foundation governance

The Foundation is a nonprofit, 501(c)(3) charitable organization that is distinct from the AmerisourceBergen Corporation. The Foundation is governed by a Board of Directors and a Grant-making Committee (“Committee”) that together provide effective, on-going decision making on behalf of the Foundation. The Foundation’s Committee is responsible for reviewing applications, resolving questions, interpreting eligibility requirements, and approving requests for grants or sponsorships. The Committee represents a broad range of leaders representing a cross-section of AmerisourceBergen business units and corporate functions. The members will act on behalf of the Foundation rather than as representatives of AmerisourceBergen.

For the specific purposes of grant-making related to opioid misuse, AmerisourceBergen Foundation has established voluntary External Advisory Committees (EACs) comprised of key stakeholders and thought leaders from academia, healthcare, law enforcement and recovery, with the goal of providing resources and helping prevent the misuse of opioids.

The EACs will help guide the Foundation’s efforts related to opioid misuse support with a shared mission to provide resources and help prevent the misuse of opioids. The EAC’s role will be to share recommendations with AmerisourceBergen Foundation grant-making committee and identify organizations for grant consideration. The scope of the EAC is purely advisory – there is no decision-making authority. In addition, all EAC members sign conflict of interest and confidentiality forms and do not review or provide input on any grants where they have prior knowledge of the organization or any type of fiduciary responsibility. As a recognition of their investment of time in this process, a donation of \$5,000 is made to a selected non-profit organization.

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